

REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR  
FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)  
Submit an original and a duplicate for fee processing

10/19/01  
J1046 U.S. PTO  
10/19/01

THIS APPLICATION: DOCKET NUMBER	FILING DATE	PRIOR APPLICATION: EXAMINER	ART UNIT	TOTAL NO. OF PAGES
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63436US1	October 19, 2001	E. Peavey	3626	41
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Address to:

Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

J1046 U.S. PTO  
09/982206  
10/19/01

This is a request for filing a [ ] continuation or [ X ] divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. **09/274346** filed on **March 23, 1999**, entitled **SEALING DEVICE AND PROCESS FOR MANUFACTURING THE SAME** by the following inventor(s).

09/274346

	Family Name	First Given Name	Second Given Name
Full Name of Inventor	OHTA	Takashi	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Ushiku-Shi	Japan	Japan
	Post Office Address	City	State & Zip Code/ Country
Post Office Address	Kamikashiwada 3-15-13, Ibaraki-Ken, Ushiku-Shi, Japan		

	Family Name	First Given Name	Second Given Name
Full Name of Inventor	MINIGAWA	Hironori	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Ichikawa-Shi	Japan	Japan
	Post Office Address	City	State & Zip Code/ Country
Post Office Address	Motokitakata 1-30-3, Chiba-Ken, Ichikawa-Shi, Japan		

[ ] additional sheet(s) with inventors information attached

1. [ ] A Second Preliminary Amendment is enclosed.

2. ☒ Cancel claim(s) 1-2, 5-9 and 11 for purposes of lessening filing fees.

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

CLAIMS

Basic Fee			\$370	\$740
Total Claims	3	- 20 =	x 9 = \$	x 18 = \$
Ind. Claims	2	- 3 =	x 42 = \$	x 84 = \$
<input type="checkbox"/> Multiple Dependent Claims			<u>+140 = \$</u> Total \$	<u>+ 280 = \$</u> Total \$740

3. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

4. ☐ This application is filed under Rule 53(f) and therefore the ☐ Filing Fee ☐ Declaration is not enclosed. All authorization to charge Deposit Account No. 06-1358 for 35 C.F.R. 1.16 fees previously made is revoked.

5. ☒ A check in the amount of \$ 740.00 is enclosed.

6. ☒ Amend the specification by inserting before the first line the sentence:

This is a Divisional of application Serial No. 09/274346 filed March 23, 1999.

- ☐ which in turn is

7. ☐ A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:

a) ☐ was claimed by way of a declaration filed in prior application Serial No. \_\_\_\_\_.

b) ☐ is claimed by way of the attached declaration.

8. ☒ Priority of application Serial No. 10-94099, filed on March 23, 1998 in Japan is claimed under 35 U.S.C. 119; and Serial No. 10-34055, filed on January 30, 1998 in Japan priority not claimed.

a) ☒ Certified copy is on file in prior application, Serial No. 09/274346.

b) ☐ Certified copy filed herewith.

9. ☒ The prior application is assigned of record to NOK Corporation.
10. ☒ The Power of Attorney in the prior application is to at least one of the following:

John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,851; Marvin R. Stern, 20,640; Allen S. Melser, 27,215; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007; William E. Player, 31,409; Yoon S. Ham, 45,307; and Nathaniel A. Humphries, 22,772.

- a) ☒ The power appears in the original papers of the prior application.
- b) ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
11. ☒ Petition to extend the life of the above prior application to at least the date hereof
- (One box) ☐ is being concurrently filed in  
NOTE: (must be) that prior application.  
(X'd) ☐ was previously filed in that application.  
☒ is not necessary.

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

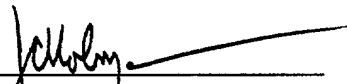
12. ☐ New formal drawings are enclosed.
13. ☒ Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. 09/274346, filed March 23, 1999 which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) ☐ More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.
14. ☒ Enclosed is a copy of the PTO 892 which issued in Serial No. 09/274346, filed March 23, 1999 which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) ☐ More than one PTO 892 issued in the above Serial No. \_\_\_\_\_ and copies of each PTO 892, less the documents, are enclosed.
15. ☐ Also enclosed is:

Address all future correspondence to:

Customer No. 00136  
or  
JACOBSON HOLMAN PLLC  
400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
Telephone 202/638-6666

Enclosed is a copy of the prior application including the Declaration as originally filed, application, namely Serial No. 09/274346, filed on March 23, 1999. The entire disclosure of the prior application is hereby incorporated by reference.

Respectfully submitted,

By   
John C. Holman  
Reg. No. 22,769  
JACOBSON HOLMAN PLLC  
400 Seventh Street, N.W.  
Washington, D.C. 20004  
(202) 638-6666

Signed this 19th day of  
October, 2001

☒ Attorney or Agent of record

☐ Filed under 37 CFR 1.34(a)